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## INFORMATION DISCLOSURE STATEMENT BY APPLICANT

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Application Number	09/880,842	Conf. No.: 7029
Filing Date	June 15, 2001	
First Named Inventor	Dr. Ginette Serrero	
Group Art Unit	1642	
Examiner Name	N. Davis	
Attorney Docket Number	A7542.0000/P001-D	

### U.S. PATENT DOCUMENTS

Examiner Initials*	Cite No. <sup>1</sup>	U.S. Patent Document		Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
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Sheet 2 of 5

## OTHER PRIOR ART - NON PATENT LITERATURE DOCUMENTS

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NAD	A	<u>Effect of Testosterone on the Growth Properties and on Epidermal Growth Factor Receptor Expression in the Teratoma-derived Tumorigenic Cell Line 1246-3A</u> , Serrero, G. et al., Cancer Research 52, 1992, pps. 4242-4247.	
	B	<u>Molecular Biology of the Cell</u> , Alberts, B., et al., Garland Publishing, Inc., 1983.	
	C	<u>Growth Factors in Development, Transformation, and Tumorigenesis</u> , Cross, M. et al., Cell, Vol. 64, 1991, pps. 271-280.	
	D	<u>Autocrine Secretion and Malignant Transformation of Cells</u> , Sporn, M.B. et al., The New England Journal of Medicine, Vol. 303, 1980, pps. 878-880.	
	E	<u>Purification of an Autocrine Growth Factor Homologous with Mouse Epithelin Precursor from a Highly Tumorigenic Cell Line</u> ; Zhou, J. et al., The Journal of Biological Chemistry, Vol. 268, No. 15, 1993, pps. 10863-10869.	
	F	<u>The Epithelin Precursor Encodes Two Proteins with Opposing Activities on Epithelial Cell Growth</u> , Plowman, G. et al., The Journal of Biological Chemistry, Vol. 267, No. 18, 1992, pps. 13073-13078.	
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	H	<u>A Synthetic Fragment of Rat Transforming Growth Factor with Receptor Binding and Antigenic Properties</u> , Nestor, J. et al., Biochemical and Biophysical Research Communications, Vol. 129, No. 1, 1985, pps. 226-232.	
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	J	<u>An In Vitro Model to Study Adipose Differentiation in Serum-Free Medium</u> , Serrero, G. et al., Analytical Biochemistry 120, 1982, pps. 351-359.	
	K	<u>Study of a Teratoma-Derived Adipogenic Cell Line 1246 and Isolation of an Insulin-Independent Variant in Serum-Free Medium</u> , Serrero-Dave, G., Cancer Center, University of California, pps. 366-376.	
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First Named Inventor	Dr. Ginette Serrero
Group Art Unit	1642
Examiner Name	N. Davis
Attorney Docket Number	A7542.0000/P001

Sheet 3 of 5

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Q	Treatment and Prevention of Rat Glioblastoma by Immunogenic C6 Cells Expressing Antisense Insulin-Like Growth Factor I RNA, Trojan, J. et al., Science, Vol. 259, 1993, pps. 94-96.
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U	Generation of antibody activity from immunoglobulin polypeptide chains produced in <i>Escherichia coli</i> , Cabilly, S. et al., Proc. Natl. Acad. Sci. USA, Vol. 81, 1984, pps. 3273-3277.
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W	Chimeric mouse-human IgG1 antibody that can mediate lysis of cancer cells, Liu, A. et al., Proc. Natl. Acad. Sci. USA, Vol. 84, 1987, pps. 3439-3443.
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Filing Date	June 15, 2001
First Named Inventor	Dr. Ginette Serrero
Group Art Unit	1642
Examiner Name	N. Davis
Attorney Docket Number	A7542.0000/P001-D

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KK	Optimization of Antisense Oligodeoxynucleotide Structure for Targeting <i>bcr-abl</i> mRNA, Giles, R.V. et al., Blood, Vol. 86, No. 2, 1995, pps. 744-754.
LL	Extending the chemistry that supports genetic information transfer <i>in vivo</i> : Phosphorothioate DNA, phosphorothioate RNA, 2'-O-methyl RNA, and methylphosphonate DNA, Thaler, D.S. et al., Proc. Natl. Acad. Sci. USA, Vol. 93, 1996, pps. 1352-1356
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PP	Growth Inhibition of Malignant CD5 <sup>+</sup> B (B-1) Cells by Antisense IL-10 Oligonucleotide, Peng, B. et al., Leukemia Research, Vol. 19, No. 3, 1995, pps. 159-167.
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RR	Prokaryotic gene expression <i>in vitro</i> : Transcription-translation coupled systems, Cenatiempo, Y., Biochimie, 68, 1986, pps. 505-515.
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UU	Functional Relationships between Transcriptional Control Signals of the Thymidine Kinase Gene of Herpes Simplex Virus, McKnight, S.L., Cell, Vol. 31, 1982, pps. 355-365.
VV	Isolation of the yeast regulatory gene GAL4 and analysis of its dosage effects on the galactose/melibiose regulon, Johnston, S.A. et al., Proc. Natl. Acad. Sci. USA, 79, 1982, pps. 6971-6975.
W	<i>In vivo</i> sequence requirements of the SV40 early promoter region, Benoist, C. et al., Nature, Vol. 290, 1981, pps. 304-310.
XX	Cloning, Structure, and Expression of the Mitochondrial Cytochrome P-450 Sterol 26-Hydroxylase, a Bile Acid Biosynthetic Enzyme, Andersson, S. et al., The Journal of Biological Chemistry, Vol. 264, No. 14, 1989, pps. 8222-8229.
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ZZ	Biochemical Analysis of the Epithelin Receptor, Culouscou, J.M. et al., The Journal of Biological Chemistry, Vol. 268, No. 14, 1993, pps. 10458-10462.
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		Application Number	09/880,842 Conf. No.: 7		
		Filing Date	June 15, 2001		
		First Named Inventor	Dr. Ginette Serrero		
		Group Art Unit	1642		
		Examiner Name	N. Davis		
Sheet	5	of	5	Attorney Docket Number	A7542.0000/P001-D

N/A	BB	Mediation of estrogen mitogenic effect in human breast cancer MCF-7 cells by PC-cell-derived growth factor (PCDGF/granulin precursor), Lu, Runqing, et al., PNAS, January 2, 2001, Volume 98, No. 1, pgs. 142-147.

Examiner Signature	Nicholas A. Owen	Date Considered	9-17-01
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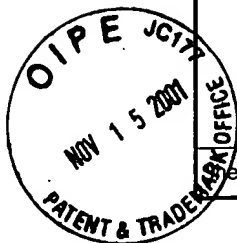
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				Filing Date	June 15, 2001
				First Named Inventor	Dr. Ginette Serrero
				Group Art Unit	1642
				Examiner Name	N. Davis
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	A	WO	91 15510 A		Squibb Bristol Myers Co.	10/17/1991		

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<b>First Named Inventor</b>	Dr. Ginette Serrero			
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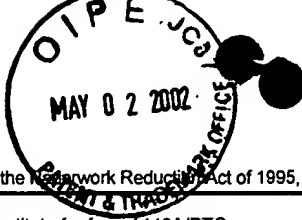
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VAD	B	Zhang Haidi, "Overexpression of PC cell derived growth factor (PCDGF) contributes to the highly tumorigenic properties of producer cell line PC," DISS. ABSTR. INT., vol. 58, no. 11, 1998, page 5814-B XP001025915, abstract.	
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	D	Bhandari et al., "The Complementary Deoxyribonucleic Acid Sequence, Tissue Distribution, and Cellular Localization of the Rat Granulin Precursor," Endocrinology, vol. 133, no. 6, 1993, pages 2682-2689, XP001021601.	
	E	Haidi Zhang and Ginette Serrero, "Inhibition of tumorigenicity of the teratoma PC cell line by transfection with antisense cDNA for PC cell-derived growth factor (PCDGF, epithelin/granulin precursor)," PNAS, vol. 95, November 1998 (1998-11), pages 14202-14207, XP002177206. *	
✓	F	European Search Report dated October 23, 2001	

<b>Examiner Signature</b>		<b>Date Considered</b>	4-17-02
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NAD	A	Zhang, Haidi - "Overexpression of PC Cell Derived Growth Factor (PCDGF) Contributes To The Highly Tumorigenic Properties Of Producer Cell Line PC" a Dissertation submitted in partial fulfillment of the requirements for the degree of Doctor of Philosophy, Chemistry, Fall of 1997, 143 pages, Clarkson University.	

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